

Audit Committee – 8th March 18

Summary of the overall status of risk within the Council – Quarter 3 2017/18

The following report summarises the overall status of risk within Swansea Council.

The report compares a snapshot of the Council’s risk registers at Quarter 3 2017/18 taken at 03/10/17 with another snapshot taken on 28/12/17.

A review of risk and the Council’s risk registers is underway in readiness for 2018/22 following the approval of a new risk policy and framework by Cabinet on 17th August 2017 and the publication of the Corporate Plan 2017/22.

Overall Risk Status – Quarter 3 2017/18

The table below illustrates overall risk status as at 03/10/17 and 28/12/17

	Low Risk	Medium Risk	High Risk
03/10/17	15	122	30
28/12/17	16	126	31
+ - change	+1	+4	+1

Summary – changes to the registers during Quarter 3 2017/18

- 84 out of 173 (49%) risks were reviewed.



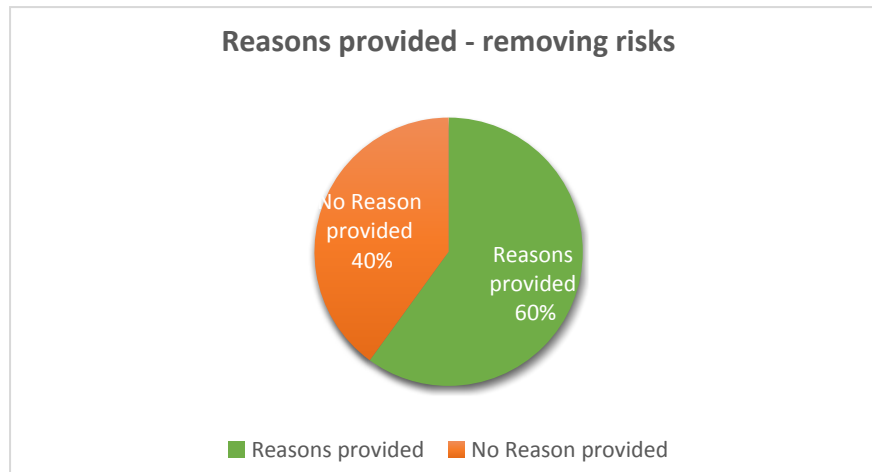
- 21 new risks were added.

Low Risk	Medium Risk	High Risk
0	12	9

- 15 risks were closed.

Low Risk	Medium Risk	High Risk
1	11	3

- 9 out of the 15 (60%) risks that were closed had reasons for doing so recorded.



- 11 risks were escalated.
- The overall RAG status of 10 risks were changed. 3 out of 10 (30%) had reasons for doing so recorded.

Other observations

- Controls and actions are in place for all risks on the registers.
- The majority of actions and controls to mitigate risks are current.
- The status of the Risk Registers was reviewed again in January to see what progress had been made since Quarter 3. There were 147 risks on the risk registers as at 19/01/18. Out of these, 106 (72%) have been reviewed since 02/10/17, which is a significant increase on the third quarter.

Developments

- A review of the Corporate Risks following the production of the Corporate Plan 2017-22 is nearing completion.
- A review of Directorate and Service level risks is taking place in line with directorate and service planning.
- Audit Committee will have access to the Corporate Risk Register directly following the meeting on 8th March 2018.

Appendix 1 – Summary of Corporate Risk Register as at 28/12/18

http://staffnet02/RiskRegister/default.aspx Risk Register - Risk List

Risk Register
Corporate Risks

richard rowlands Add Risk Back to Home Page

Filter by... Category: All Responsible Officer: All Archived Risks Filter Save Reports

Previous	Next	Risk Id	Risk Title	Category	View	Edit	Responsible Officer	Date Last Updated	Updated By	Overall RAG	Audit
		CR 42	Asset Disposal Targets	Corporate Finance	View	Edit	martin nicholls	16/10/2017	CCOS\MARTIN.NICHOLLS	A	View History
		CR 43	Workforce Planning	Corporate Plan Priorities	View	Edit	sarah caulkin	20/12/2017	CCOS\SARAH.CAULKIN	A	View History
		CR 44	Poverty	Corporate Plan Priorities	View	Edit	chris sivers	27/10/2017	CCOS\CHRIS.SIVERS	A	View History
		CR 45	Safeguarding	Corporate Plan Priorities	View	Edit	chris sivers	27/10/2017	CCOS\CHRIS.SIVERS	R	View History
		CR 46	Sustainable Swansea	Corporate Plan Priorities	View	Edit	sarah caulkin	20/12/2017	CCOS\SARAH.CAULKIN	R	View History
		CR 47	Financial Control	Corporate Finance	View	Edit	ben smith	20/12/2017	CCOS\BEN.SMITH	R	View History
		CR 48	Resilience	Corporate Plan Priorities	View	Edit	martin nicholls	16/10/2017	CCOS\MARTIN.NICHOLLS	A	View History
		CR 50	Health & Safety Strategy	Corporate Governance	View	Edit	martin nicholls	16/10/2017	CCOS\MARTIN.NICHOLLS	A	View History
		CR 58	Failure to deliver City Centre Regeneration	Corporate Plan Priorities	View	Edit	phillip holmes	21/11/2017	CCOS\PHILLIP.HOLMES	G	View History
		CR 59	Pupil attainment standards fall as a consequence of increasing demands and reducing resources in schools.	Corporate Plan Priorities	View	Edit	chris sivers	27/10/2017	CCOS\CHRIS.SIVERS	A	View History
		CR 62	Impact of new Legislation	Corporate Governance	View	Edit	tracey meredith	30/08/2017	CCOS\RICHARD.ROWLANDS	A	View History
		CR 63	Prevention and Wellbeing	Corporate Plan Priorities	View	Edit	chris sivers	27/10/2017	CCOS\CHRIS.SIVERS	A	View History
		CR 64	Risks arising from BREXIT decision	Corporate Plan Priorities	View	Edit	ben smith	20/12/2017	CCOS\BEN.SMITH	R	View History
		CR 66	Preparing for the General Data Protection Regulation (GDPR)	Corporate Governance	View	Edit	sarah caulkin	20/12/2017	CCOS\SARAH.CAULKIN	A	View History

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